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#### 1 ARTICLE 4 OF THE AGREEMENT ON TECHNICAL BARRIERS TO TRADE

#### 1.1 Text of Article 4 and Annex 3

#### Article 4

# Preparation, Adoption and Application of Standards

- 4.1 Members shall ensure that their central government standardizing bodies accept and comply with the Code of Good Practice for the Preparation, Adoption and Application of Standards in Annex 3¹ to this Agreement (referred to in this Agreement as the "Code of Good Practice"). They shall take such reasonable measures as may be available to them to ensure that local government and non-governmental standardizing bodies within their territories, as well as regional standardizing bodies of which they or one or more bodies within their territories are members, accept and comply with this Code of Good Practice. In addition, Members shall not take measures which have the effect of, directly or indirectly, requiring or encouraging such standardizing bodies to act in a manner inconsistent with the Code of Good Practice. The obligations of Members with respect to compliance of standardizing bodies with the provisions of the Code of Good Practice shall apply irrespective of whether or not a standardizing body has accepted the Code of Good Practice.
- 4.2 Standardizing bodies that have accepted and are complying with the Code of Good Practice shall be acknowledged by the Members as complying with the principles of this Agreement.

### **ANNEX 3**

# CODE OF GOOD PRACTICE FOR THE PREPARATION, ADOPTION AND APPLICATION OF STANDARDS

# General Provisions

- A. For the purposes of this Code the definitions in Annex 1 of this Agreement shall apply.
- B. This Code is open to acceptance by any standardizing body within the territory of a Member of the WTO, whether a central government body, a local government body, or a non-governmental body; to any governmental regional standardizing body one or more members of which are Members of the WTO; and to any non-governmental regional

<sup>&</sup>lt;sup>1</sup> In connection with the Code of Good Practice for the Preparation, Adoption and Application of Standards, the Marrakesh Ministerial Conference adopted two decisions on 15 December 1994.

standardizing body one or more members of which are situated within the territory of a Member of the WTO (referred to in this Code collectively as "standardizing bodies" and individually as "the standardizing body").

C. Standardizing bodies that have accepted or withdrawn from this Code shall notify this fact to the ISO/IEC Information Centre in Geneva. The notification shall include the name and address of the body concerned and the scope of its current and expected standardization activities. The notification may be sent either directly to the ISO/IEC Information Centre, or through the national member body of ISO/IEC or, preferably, through the relevant national member or international affiliate of ISONET, as appropriate.

#### Substantive Provisions

- D. In respect of standards, the standardizing body shall accord treatment to products originating in the territory of any other Member of the WTO no less favourable than that accorded to like products of national origin and to like products originating in any other country.
- E. The standardizing body shall ensure that standards are not prepared, adopted or applied with a view to, or with the effect of, creating unnecessary obstacles to international trade.
- F. Where international standards exist or their completion is imminent, the standardizing body shall use them, or the relevant parts of them, as a basis for the standards it develops, except where such international standards or relevant parts would be ineffective or inappropriate, for instance, because of an insufficient level of protection or fundamental climatic or geographical factors or fundamental technological problems.
- G. With a view to harmonizing standards on as wide a basis as possible, the standardizing body shall, in an appropriate way, play a full part, within the limits of its resources, in the preparation by relevant interestable and add a contact that the preparation by relevant interestable and a contact the preparation by relevant and a contact the contact the preparation by relevant and a contact the con

the work programme applies, its price (if any), and how and where it can be obtained. The notification may be sent directly to the ISO/IEC Information Centre, or, preferably, through the relevant national member or international affiliate of ISONET, as appropriate.

- K. The national member of ISO/IEC shall make every effort to become a member of ISONET or to appoint another body to become a member as well as to acquire the most advanced membership type possible for the ISONET member. Other standardizing bodies shall make every effort to associate themselves with the ISONET member.
- L. Before adopting a standard, the standardizing body shall allow a period of at least 60 days for the submission of comments on the draft standard by interested parties within the territory of a Member of the WTO. This period may, however, be shortened in cases where urgent problems of safety, health or environment arise or threaten to arise. No later than at the start of the comment period, the standardizing body shall publish a notice announcing the period for commenting in the publication referred to in paragraph J. Such notification shall include, as far as practicable, whether the draft standard deviates from relevant international standards.
- M. On the request of any interested party within the territory of a Member of the WTO, the

systems may be invited to address the Committee on their procedures and how they relate to those embodied in the Agreement, on the basis of agreed lists of questions.<sup>3</sup> In 2006, with regard to the acceptance of the Code of Good Practice by regional standardizing bodies, the Committee agreed to encourage regional standardizing bodies to accept the Code of Good Practice and to notify their acceptance of the Code to the International Organization for Standardization (ISO) / International Electrotechnical Commission (IEC) Information Centre.<sup>4</sup>

#### 1.3 Annex 3: Related TBT Committee decisions and recommendations

# 1.3.1 General

- 3. In 2012, the TBT Committee emphasized, in particular, the importance of transparency in the development of standards, recalling that several paragraphs of the Code of Good Practice are relevant to transparency in standard-setting, including paragraphs J through Q. For example, Paragraph L of the Code of Good Practice states, *inter alia*, that "before adopting a standard, the standardizing body shall allow at least 60 days for the submission of comments on the draft standard by interested parties within the territory of a Member of the WTO".<sup>5</sup>
- 4. Notifications under the Code are circulated by the WTO Secretariat in the document series <u>G/TBT/CS/N/\*</u>. This information can be downloaded from the Technical Barriers to Trade Information Management System (TBT IMS) under "Reports": http://tbtims.wto.org.

Pursuant to the Ministerial Decision taken in Marrakesh on 15 April 1994 on "Proposed

Understanding on WTO-ISO Standards Information System", a "Memorandum of Understanding (MoU) on WTO Standards Information Service Operated by ISO" was reached between the Secretary-General of the ISO Central Secretariat and the Director-General of the WTO. This MoU established a WTO-ISO Information System regarding standardizing bodies under Paragraphs C and J of the Code of Good Practice. Pursuant to Paragraph 2 of the MoU, and to ensure a uniform and efficient operation of the procedures for notifications, thraf,g (e)2 (m1 ( g (e)2 )0.6 ) dIT.0.7 ()Tj-0.08m1 ( of)1

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publication, for which a reasonable fee may be charged, shall be available to ISONET members and through the Secretariat to the Members of the WTO.

#### 1.5 Decision on Review of the ISO/IEC Information Centre Publication

15. The second of the two TBT Agreement-related Ministerial Decisions and Declarations adopted by the Trade Negotiations Committee on 15 December 1993 reads as follows:

# Decision on Review of the ISO/IEC Information Centre Publication

Ministers,

Decide that in conformity with paragraph 1 of Article 13 of the Agreement on Technical Barriers to Trade in Annex 1A of the Agreement Establishing the World Trade Organization, the Committee on Technical Barriers to Trade established thereunder shall, without prejudice to provisions on consultation and dispute settlement, at least once a year review the publication provided by the ISO/IEC Information Centre on information received according to the Code of Good Practice for the Preparation, Adoption and Application of Standards in Annex 3 of the Agreement, for the purpose of affording Members opportunity of discussing any matters relating to the operation of that Code.

In order to facilitate this discussion, the Secretariat shall provide a list by Member of all standardizing bodies that have accepted the Code, as well as a list of those standardizing bodies that have accepted or withdrawn from the Code since the previous review.

The Secretariat shall also distribute promptly to the Members copies of the notifications it receives from the ISO/IEC Information Centre.

Current as of: July 2024