

**UkrSEPRO Certification System**

**PROCEDURE FOR RECOGNITION  
OF RESULTS OF IMPORTED GOODS  
CERTIFICATION**

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A warrant of recognition of foreign certificates shall be the certificate of correspondence, issued by the UkrSEPRO system or a warrant of recognition (Annex A).

**3.5.** Agreements on recognition of results of certification shall be concluded under conditions:

- - existence of the national system of certification in the importing country, which has an organization and methodology documents, accredited bodies on certification of goods, testing laboratories (centers) in accordance with the requirements of ISO/IEC on certification and standards ISO 9000, EN 29 000;
- - existence of accredited bodies on certification of goods, testing laboratories within international systems of certification IEC SE, MSS IET IEC, EEC UNO;
- - existence of accredited bodies on certification of goods, testing laboratories within the inter-state systems of certification of a specific type of goods, established jointly by the states-parties to the agreement.

**3.6.** Results of certification of imported goods, which are not covered by the agreement, including certificates (marks) of correspondence of goods, may be acknowledged by UkrSEPRO system on the grounds of the decision on acknowledgment:

- without additional procedures on certification;
- with the use of supplementary procedures on certification; based on the positive results of certification of goods in the UkrSEPRO system.

**3.7.** The most important features of the agreement on acknowledgement shall be:

- the mutually acknowledged administrative and legal structures and work programs;
- identical basic criteria that need to be met during the certification of goods;
- normative documents that are in use shall be harmonized with the international standards (ISO, IEC etc.);
- mutual legal and economic responsibility of the parties concluding an agreement;
- possibility to suspend the validity of an agreement;
- procedures ensuring the proper operation of the structures chosen, uniform methods and equipment for conducting certification, analogous supervision procedures, legal protection methods and other leading provisions guaranteeing that neither of the parties participating in certification will not be subject to an unjustified risk on recognition of work on certification of other parties.

**3.8.** Basic provisions of the agreement on recognition of the results of certification of goods are stipulated in Annex B of this KH .

**3.9.** The rules and procedure of mutual acknowledgement of the national certification systems shall be determined based on the bilateral and multilateral agreements (of member states to an agreement).

#### **4. Procedure for acknowledgement of results of certification of imported goods**

**4.1** Acknowledgement of results of certification of imported goods shall mainly deal with:

- recognition of the certificate (mark) stating that goods meet the requirements (the quality system certification);
- recognition of results of certification of goods by the testing laboratory.

**4.2.** Procedure for recognition of the results of certification of goods shall provide for the following basic stages:

**4.2.1.** When concluding the agreements:

- consideration of an application and documentation analysis for drawing up an agreement;
- drawing up the agreement on recognition of results of certification of goods;
- making up and registration of certificates (marks) of correspondence or warrants on recognition, their filing into the UkrSEPRO's register and the issue to an applicant;
- technical supervision over imported goods in compliance with the agreement on recognition of results of certification of goods during the term of validity of such agreement.

**4.2.2.** When making a decision:

- consideration of an application and documentation analysis submitted for recognition of results of certification of goods;
- making the decision on the possibility of issue of the certificate (mark) of correspondence or a warrant on recognition;
- making up and registration of certificates (marks) of correspondence or warrants on recognition, their filing into the UkrSEPRO's register and the issue to an applicant;
- technical supervision over imported goods in compliance with the decision on recognition of results of certification of goods.

**4.3.** The declarer of goods of the importing state shall present the following documents to the body on certification of the specific type of goods of UkrSEPRO :

- application on recognition;
- certificate (mark) of recognition;
- standard (specifications) for goods and certification procedure;
- certificate of the testing laboratory accreditation (if available);
- protocol on testing;
- quality certificate, certificate of production (if available) by a producer;

**4.4.** If there is no relevant body on certification of the specific type of goods of UkrSEPRO, the documents shall be submitted to the State Committee on Standardization of Ukraine which shall appoint the institution to function as a body on certification of these goods and exercise recognition of results of certification.

**4.5.** Relationship between the parties to the works on recognition of results of certification of goods shall be maintained through their permanent representatives.

**4.6.** A body on certification of a specific type of goods shall consider and analyze the documents submitted. For consideration of the documents a commission headed by the chair of the certification body shall be established. The experts-auditors of various fields of certification of goods, professionals of the state sanitary-epidemiological service (if necessary), representatives of consumer's unions and other institutions concerned.

The commission which considers the documents, shall have the right to request for additional documentation from the representative of other party that has submitted the documents.

Based on this analysis the draft agreement on acknowledgement of the results of certification between the authorized persons of both parties shall be developed or a decision on the procedure for recognition of the results of certification shall be adopted.

**4.7.** The agreements shall be concluded with organizations at various levels with participation of the UkrSEPRO's certification body.

**4.8.** The body on certification of a specific type of goods shall issue the UkrSEPRO's certificate (mark) of correspondence for the goods which have been certified under the agreement.

In the course of the validity period of agreement, the cases of full recognition of certificates (marks) of correspondence for the goods of foreign partners may be possible.



**4.16.** Within one month the body on certification of concrete produce type sends results of works on recognition (compliance certificate, other documents, etc.) together with documentation under paragraph 4.3 to Derzhstandard of Ukraine (State Standard of Ukraine) for consideration and registration.

**4.17.** Derzhstandard of Ukraine registers certificates on recognition of foreign compliance certificates (marks) and compliance certificates issued in UkrSEPRO system.

**4.18.** Recognition of compliance certificates (marks), accreditation certificates of test laboratories (protocols of tests) and other functional elements of importer-states' certification system is considered valid since the moment of their entering in the register of UkrSEPRO system for the period of agreement on recognition validity or term established by the body on certification of concrete produce type.

**4.19.** Technical surveillance over imported produce is conducted through fulfillment of mutual obligations mentioned in agreement (Annex B).

**4.20.** Technical surveillance over imported produce which not covered by the agreement on recognition of certification results under paragraph 3.6 is conducted in accordance with UkrSEPRO system rules and concrete produce type under scheme (model) of certification.

**4.21.** Applicant shall pay for works on recognition of certification results of imported produce.

**4.22.** Annex B of this Guiding Normative Document determines the procedure of works on recognition of certification results of imported produce.





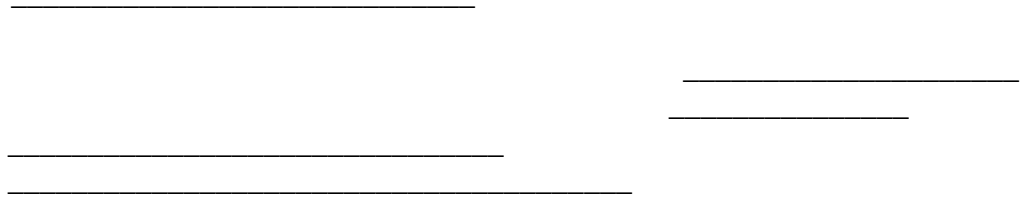


B9. The agreement shall determine a mechanism to resolve disputes appeared between the Contracting Parties.

B10. The agreement shall establish term of its validity, conditions of cessation, stopping or renewal

**ANNEX C**  
(recommended)

**Procedure of recognizing certification of the imported products**



1 stage

Registration  
of the application  
Registration of the  
documents according  
to Art. 4.2

Registration journal

Formation of the

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3 stage	Execution and registration of correspondence certificates (signs), certificates of recognition, certificate of the experimental laboratory accreditation (or recognition of the experimental results), other elements of the certification system, their registration in the register of the certification system of UkrSEPRO
4 stage	Technical supervision for the imported products according to the agreement for the period of its validity or for the period determined by the certification body for definite type of products