



TECHNICAL COOPERATION
HANDBOOK ON
NOTIFICATION
REQUIREMENTS

This section of the Handbook on Notification Requirements covers the notification obligations under the **AGREEMENT ON THE IMPLEMENTATION OF ARTICLE VI OF THE GATT 1994**. It consists of the following five parts:

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SINCE 1995

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AGREEMENT

For Members which acceded pursuant to Article XII of the Marrakesh Agreement, their respective Protocols of Accession may contain notification obligations in addition to those set out in the WTO Agreements, and may govern the deadlines for the submission of their initial notifications.

PART 1

OVERVIEW OF NOTIFICATION REQUIREMENTS

INTRODUCTION

In very general terms, dumping is the sale of a product in the territory of an importing country at less than the price for which that product is sold in the domestic market of the exporting country. Article VI of GATT allows Members to impose anti-dumping duties in cases where there are dumped imports, injury to the industry in the importing country, and a causal link between the two.

Under the WTO system, the Anti-Dumping Agreement governs the application by Members of anti-dumping measures on products imported from another Member. The Agreement contains detailed procedural and substantive requirements concerning the initiation and conduct of investigations to determine whether imports are dumped, whether there is injury to the domestic industry in the importing Member, and whether there is a causal link.

Although Members are not required to have anti-dumping legislation, the Agreement specifies that anti-dumping measures may only be applied pursuant to investigations initiated and conducted in accordance with the Agreement. The Agreement contains the basic rules for such investigations but is not itself sufficient to govern all aspects of an investigation. Therefore, a Member that foresees the need to apply anti-dumping measures is likely to find it necessary to enact additional legislation or regulations in order to carry out investigations in accordance with the provisions of the Agreement.

The Agreement requires that all Members ensure the conformity of their laws in the anti-dumping area with the provisions of the Agreement.

WHAT MUST BE NOTIFIED?

Notifications of Anti-Dumping legislation and/or regulations

[Article 18.5](#) of the AD Agreement requires Members to notify their domestic laws and/or regulations relating to anti-dumping to the Anti-Dumping Practices Committee (ADP Committee). These notifications are in the form of the full texts of the relevant laws and/or regulations in English or French or Spanish. Any modification to the laws, regulations or administrative procedures must be notified promptly. Members that have

no anti-dumping laws or regulations should notify that fact by providing a nil notification. If a Member is unable to notify the text of existing legislation, it should submit an explanation of why such legislation is not notified. ([G/ADP/1](#) & [G/ADP/N/1/Suppl.1](#)).

Notifications of Competent Authorities

[Article 16.5](#) requires Members to notify to the ADP Committee which of its authorities are competent to initiate and conduct anti-dumping investigations. The list of such notifications includes addresses and contact numbers. It is periodically updated and can be found in document [G/ADP/N/14/*](#). The addendum document with the highest number contains the most recent information.

Notifications of Preliminary and Final Actions

[Article 16.4](#) requires Members to report without delay all preliminary or final anti-dumping actions taken. The notifications often are made by submitting the full text of a Member's public notice regarding the action in English, French or Spanish, but in any event, notifications should contain the minimum information to be provided as adopted by the ADP Committee in document [G/ADP/2/Rev.2](#).

Notifications of Anti-Dumping Actions

[Article 16.4](#) also requires Members to submit a report of all anti-dumping actions they have taken, as well as a list of all anti-dumping measures in force, twice a year. These reports are normally submitted by mid-February, covering the period 1 July through 31 December of the previous calendar year, and by mid-August, covering the period 1 January through 30 June of the same calendar year. A **format** for these reports, with detailed instructions adopted by the ADP Committee, can be found in document [G/ADP/1/Rev.1](#). Members that have not taken any actions during a covered period and have no ongoing investigations or proceedings or measures in force do not need to use the format but shall instead submit a nil notification (simple two sentences indicating that no actions have been taken during that period; i.e. a nil notification). However, if the Member concerned did not have any actions to report during a given reporting period but has pending actions such as ongoing investigations or proceedings during previous reporting periods, or has measures in force, etc., the format should be used to report those.

PART 1

OVERVIEW OF NOTIFICATION REQUIREMENTS

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PART 1

OVERVIEW OF NOTIFICATION REQUIREMENTS

HOW TO NOTIFY?¹

As a general matter, it should be noted that pursuant to a Decision adopted by the Committee in 2009 ([G/ADP/20](#)), each Member shall submit all anti-dumping notifications, including the . . . reports on all anti-dumping actions and the minimum information format, in an **electronic form**.

In case of legislative notifications

[Article 18.5](#) ([G/ADP/1](#) & [G/ADP/N/1/Suppl.1](#)), the notification is to be made once, upon entry into force of the WTO Agreement for the notifying Member for existing laws and regulations, and thereafter on an . . . basis, as and when laws/regulations are established, or changes effected. Please see [illustrative mock examples](#) listing different situations and explaining when/how to notify depending on the situation. **This notification should be sent by email to crn@wto.org with a copy to the Secretary of the ADP Committee. As this document would be formatted by the WTO Secretariat prior to circulation, it should be submitted in a WORD format.**

In case of *Ad hoc* notifications

[Article 16.4](#), Members should provide – on an . . . basis without delay – the minimum information contained in [G/ADP/2/Rev.2](#). Alternatively, Members working in English, French or Spanish can provide the full text of their public notices regarding the notified action. **This notification should be sent by email to crn@wto.org with a copy to the Secretary of the ADP Committee. As these notifications are not circulated *per se*, but kept with the Secretariat for inspection by Members, it could be submitted in a WORD or PDF formats. The Secretariat circulates monthly reports reflecting the Members submitting such notifications during a given month, the products subject to the notified action, and the names of the exporting countries.**

¹ All notifications, regardless of their subject content or the requirement under which they are being submitted, must be directed to the administrator of the Central Registry of Notifications (CRN), as indicated in document [WT/INF/25/Rev.2](#). Notifications may be submitted through online submission systems, as electronic attachments to emails, or on paper. As it may be practice, a copy of the notification may be sent to the Secretariat unit substantively handling the notification. In case of semi-annual reports, Members can also use the Anti-Dumping Notification Portal (<https://ad-notification.wto.org/>) to submit their semi-annual reports.

In case of Semi-Annual reports

[Article 16.4](#) – twice per year, by mid-February (reporting period July – December of the preceding calendar year) and mid-August (reporting period January-June of the same calendar year):

In case of actions to report, the format adopted by the Committee and contained in [G/ADP/1/Rev.1](#) shall be used.

In case of no actions to report and no pending actions pertaining to previous periods, a nil notification would suffice, and the format in [G/ADP/1/Rev.1](#) would not be used. Example of nil notification:

"In accordance with [Article 16.4](#) of the Agreement on Implementation of Article VI of the GATT 1994, and in response to the request for semi-annual reports contained in document G/ADP/N/XX, the Government of [name of Member] notifies the Committee on Anti-Dumping Practices that it has taken no anti-dumping actions during the period 1 January – 30 June/1 July through 31 December."

In case of no actions to report during a given reporting period, but the Member concerned has pending actions such as ongoing investigations or proceedings during previous reporting periods, or has measures in force, etc., the format should be used to report those.

All such notifications **should be sent by email to crn@wto.org with a copy to the Secretary of the ADP Committee. As this document would be formatted by the WTO Secretariat prior to circulation, it should be submitted in a WORD format. In addition, Members can also use to use the [Anti-Dumping Notification Portal](#) to submit their semi-annual reports.**

In case of the establishment of an authority competent to initiate and conduct investigations

[Article 16.5](#), this should also be notified once. A simple email containing the contact details of the newly established authority (name of the Head of the authority, its address, email, website, phone numbers, etc.) would suffice. Any subsequent changes introduced should also be reported – on an . . . basis – via email. **This email should be sent to crn@wto.org with a copy to the Secretary of the ADP Committee.**

PART 1

OVERVIEW OF NOTIFICATION REQUIREMENTS

OTN

Under [Articles 16.4](#) and [16.5](#) should be submitted by certain Members that fall in the category of Members described in [G/ADP/19](#). Members falling in this category should make the notification using the format contained in [G/ADP/19](#) and circulated in the document series [G/ADP/N/193/*](#). This notification is **made only once and remains valid until further notice by the Member concerned**. Submitting such a notification would replace submitting the other notifications under [Articles 16.4](#) and [16.5](#) until such time the circumstances change as described in [G/ADP/19](#). **This notification should be sent by email to crn@wto.org with a copy to the Secretary of the ADP Committee. As this document would be formatted by the WTO Secretariat prior to circulation, it should be submitted in a WORD format.**

PART 2

LISTING OF THE NOTIFICATION OBLIGATIONS

| | WHAT MUST BE NOTIFIED? | | WHICH MEMBERS MUST NOTIFY? | WHEN TO NOTIFY? | | HOW TO NOTIFY? | | |
|----|------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------|
| | Noti cation requirements | Type of measure | Members notifying | Periodicity | Comments on Periodicity | Format | To whom ² | Noti cation Symbol |
| 3. | Agreement on the Implementation of Article VI of the GATT 1994, Article 16.5 . | Investigating authorities competent to initiate and conduct investigations referred to in Article 16.5, and domestic procedures governing the initiation and conduct of such investigations. | All WTO Members | One time | One time and upon introduction of any future changes. As appropriate, upon entry into force of the WTO Agreement for the Member concerned. | No (There is no format but a compilation of investigating authorities contact details (G/ADP/N/14/*). | Committee on Anti-Dumping Practices. | G/ADP/N/14/* |

² All noti cations, regardless of their subject content or the requirement under which they are being submitted, must be directed to the administrator of the Central Registry of Noti cations (CRN), as indicated in document [WT/INF/25/Rev.2](#). Noti cations may be submitted through online submission systems, as electronic attachments to emails, or on paper. As it may be practice, a copy of the noti cation may be sent to the Secretariat unit substantively handling the noti cation. In case of semi-annual reports, Members can also use the [Anti-Dumping Noti cation Portal](#) to submit their semi-annual reports.

PART 2

LISTING OF THE NOTIFICATION OBLIGATIONS

| WHAT MUST BE NOTIFIED? | | WHICH MEMBERS MUST NOTIFY? | WHEN TO NOTIFY? | | HOW TO NOTIFY? | | |
|--------------------------|-----------------|----------------------------|-----------------|-------------------------|----------------|---------|--|
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| | | | | | | | |

PART 3

RELEVANT DOCUMENT(S) CONCERNING GUIDELINES AND FORMATS

Format for information provided in the semi-annual reports [G/ADP/1/Rev.1](#).

Minimum information to be provided under Article 16.4 of the Agreement in the reports on all preliminary or final Anti-Dumping actions [G/ADP/2/Rev.2](#).

Notification of laws and regulations under Article 18.5 of the Agreement [G/ADP/1](#) and [G/ADP/N/1/Suppl.1](#).

List of competent authorities notified & updates [G/ADP/N/14/*](#).

Notifications under Articles 16.4 and 16.5 - Format adopted by the Committee on 21 October 2009 OTN [G/ADP/19](#).

Decision on the electronic submission of all anti-dumping notifications [G/ADP/20](#).

LIST OF NOTIFICATIONS UNDER ARTICLE 16.4

Noti cations under [Article 16.4 – _____](#).

Noti cations under [Article 16.4 – Semi-annual](#).

LIST OF NOTIFICATIONS UNDER ARTICLE 16.5

Noti cations under [Article 16.5](#).

LIST OF NOTIFICATIONS UNDER ARTICLE 18.5

Noti cations under [Article 18.5](#).

LIST OF NOTIFICATIONS UNDER ARTICLES 16.4 & 16.5

OTN – Decision by the ADP (G/ADP/19).

PART 5

TEXT OF THE AGREEMENT

Agreement on Implementation of Article VI of the General Agreement on Tariffs and Trade 1994 [LT/UR/A-1A/3](#).